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Sheet 1 of 3

Application Number	10/658,105
Filing Date	September 9, 2003
First Named Inventor	James E. Toney
Art Unit	2873
Examiner Name	
Attorney Docket Number	BAT 0063 PA/4007

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code <sup>2</sup> (if known)			
W-C		US- 5,335,240	08/02/1994	Ho et al.	CLASS / SUBCL 372 / 39
		US- 5,406,573	04/11/1995	Ozbay et al.	372 / 43
		US- 5,440,421	08/08/1995	Fan et al.	359 / 245
		US- 5,600,483	02/04/1997	Fan et al.	359 / 245
		US- 5,740,287	04/14/1998	Scalora et al.	385 / 6
		US- 5,784,400	07/21/1998	Joannopoulos et al.	372 / 96
		US- 5,973,823	10/26/1999	Koops et al.	359 / 322
		US- 5,998,298	12/07/1999	Fleming et al.	430 / 692
		US- 6,042,998	03/28/2000	Brueck et al.	430 / 316
		US- 6,058,127	05/02/2000	Joannopoulos et al.	372 / 92
		US- 6,075,640	06/13/2000	Nelson	359 / 239
		US- 6,101,300	08/08/2000	Fan et al.	385 / 27
		US- 6,134,043	10/17/2000	Johnson et al.	359 / 237
		US- 2001/0019565 A1	09/06/2001	Iwasaki et al.	372 / 39
		US- 2002/0009277 A1	01/24/2002	Noda et al.	385 / 130.
		US- 6,388,795	05/14/2002	Fleming et al.	359 / 240
		US- 6,392,787	05/21/2002	Cirelli et al.	359 / 321
		US- 6,396,617	05/28/2002	Scalora	359 / 248
✓		US- 6,448,997	09/10/2002	Koide	347 / 250

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code³ Number ⁴ Kind Code⁵ (if known)			
W.C.		WO 02/44672 A2 ✓	06/06/2002	Frick	

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11/30/04

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Sheet	2	of	3
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Application Number	10/658,105
Filing Date	September 9, 2003
First Named Inventor	James E. Toney
Art Unit	2873
Examiner Name	Ch...
Attorney Docket Number	BAT 0063 PA/40078.243

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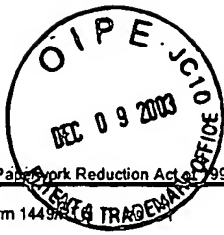
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet	3	of	3	Application Number	10/658,105
				Filing Date	September 9, 2003
				First Named Inventor	James E. Toney
				Art Unit	2873
				Examiner Name	Choi
				Attorney Docket Number	BAT 0063 PA/40078.243

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
W.C.	✓	SATO et al.; 3-D Photonic-Crystal Heterostructures: Fabrication and In-Line Resonator; IEEE Photonics Technology Letters; June 2003; pgs. 816 - 818; Vol. 15, No. 6.	
W.C.	✓	JOHN et al.; Photonic Band Gap Materials: A Semiconductor for Light; pgs. 1- 23.	
W.C.	✓	FAN et al.; Channel Drop Filters in Photonic Crystals; Optics Express; July 1998; pgs. 4 - 11; Vol. 3, No. 1.	

Examiner Signature		Date Considered	11/30/04
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 2

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First Named Inventor	James E. Toney
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>2</sup> -Number <sup>3</sup> -Kind Code <sup>4</sup> (if known)	MM-DD-YYYY			
W.C.		WO 98/53350 A	11/26/1998	Deutsche Telekom AG	—	
W.C.		WO 01 77726 A	10/18/2001	BTG International Ltd.	—	
W.C.		EP 0 953 853 A	11/03/1999	Shin-Etsu Handotai Co.	—	

Examiner Signature		Date Considered	11/30/04
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<b>Filing Date</b>	September 9, 2003
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First Named Inventor	James E. Toney
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Art Unit	2873
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Examiner Name

Attorney Docket Number	BAT 0063 PA/
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Sheet	2
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of

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Signature**

Date  
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